## DANN, DORFMAN, HERRELL AND SKILLMAN.

### A Professional Corporation

1601 MARKET STREET • SUITE 2400 • PHILADELPHIA, PA • 19103-2307 PHONE (215) 563-4100 • FAX (215) 563-4044

# **Facsimile Cover Sheet**

RECEIVED CENTRAL FAX CENTER

OCT 2 7 2003

DATE: October 27, 2003

ATTENTION: Kripa Sagar

FAX #: 1-703-872-9306

FROM: Niels Haun

RE: 09/862,593 - Shipley 03-16; DN 51929 (ACT - 135)

Total Number of Pages Including this Cover Sheet: 50

OFTICIAL

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HERBBY NOTIFIED THAT THE UNAUTHORIZED DISSEMINATION OF THE COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

: Group Art Unit: 1756

Dan A. Steinberg

Examiner: Kripa Sagar

Serial No.: 09/862,593

Filed: May 23, 2001

RECEIVED CENTRAL FAX CENTER

For: METHOD FOR MAKING INTEGRATED OPTICAL WAVEGUIDES AND MICRO-

MACHINED FEATURES

OCT 2 7 2003

#### RESUBMISSION OF RESPONSE TO OFFICIAL ACTION AND REQUEST THAT THE DATE OF MAILING UNDER 37 CFR 1.8(a) BE ACCORDED

This paper is in response to the Examiner's phone call of October 27, 2003 alerting the undersigned that the Examiner had no record of our reply mailed May 27. 2003. In accordance with 37 CFR 1.8(b) and based on the following, the undersigned respectfully requests that the Examiner enter the enclosed papers and accord them the timely date of May 27, 2003.

#### П. FACTS

An Amendment and Reply was mailed to the Patent Office on May 27, 2003, in accordance with the requirements of 37 CFR 1.8(a). Copies of the transmittal letter, with the certificate of mailing, the amendment and reply including the fee transmittal sheet, corrected drawings (9 sheets), Power of Attorney, Revocation and Change of Correspondence Address, Information Disclosure Statement, Form PTO 1449 and a copy of the reference, as mailed are enclosed herewith. The Amendment and Reply was received in the Patent and Trademark Office on May 29, 2003 as indicated on the date-stamped return postcard, a copy of which is also enclosed.

In view of the enclosures, it is clear that there is no abandonment of the application because the response was timely submitted. Accordingly, it is respectfully requested that the enclosed papers be entered in the case effective May 27, 2003.

the following papers have been received

In re Application of

DAN A. STEINBERG

Appl. No.09/862,593

Attorney Docket No. Shipley 03-16

Method For Making For:

Integrated Optical

Waveguides And

Micromachined Features

Amendment and Reply §1.8 Cert. Of Mailing Fee Transmittal (Dupl.) Auth, to charge dep. acct. Corrected Drawings (9 sheets)

Dower of Attorney

Revocation Change of Correspondence Address Information Disclosure Statement

FORM PTO 1449 COPY OF REFERENCE

Patent and Trademark Office is respectfully requested to place its STAMP on this POSTAL CARD and place it in the outgoing mail.

Mailed: 5/27/03

By: Niels Haun

The following papers have been received

In re Application of DAN A. STEINBERG

Appl. No.09/862,593

Attorney Docket No.

Shipley 03-16 For:

Method For Making Integrated Optical Waveguides And

Micromachined Features

Amendment and Reply \$1.8 Cent. Of Mailing Fee Transmittal (Dupl.) Auth. to charge dep. acct.

Corrected Drawings (9 sheets) Dower of Attorney Revocation

Change of Correspondence Address Information Dischoure Statement

COM OF REFERENCE Patent and Trademark Office is respectfully requested to place its STAMP on this POSTAL CARD and place it in the outgoing mail.

Mailed: 5/27/03

By: Niels Hann

| FEE TRANSMITTAL                         | Complete if known                      |  |
|---|--|--|
|   | Application Number: 09/862,593         |  |
|   | Filing Date: May 23, 2001              |  |
|   | First Named Inventor: Dan A. Steinberg |  |
|   | Group Art Unit: 1756                   |  |
|   | Examiner Name: Kripa Sagar             |  |
| Total Amt. of Payment: (1)+(2)+(3)= \$9 | Attorney Docket Number: Shipley 03-16  |  |

| METHOD OF PAYMENT (check one)                |            | FFE CALCULATION (continued)                              |          |  |  |
|--|------------|--|----------|--|--|
|  |            | ADDITIONAL FEES  |          |  |  |
| 1. The Commissioner is hereby authorized to: |            | Fee Description  | Fee Paid |  |  |
| (X) Charge indicated fees                    |            | Suncharge-late filing fee or ooth                        |          |  |  |
| [X] Charge additional fees                   |            | Surcharge - iste provisional filing fee or cover shost   |          |  |  |
| [X] Credit overpayments                      |            | Extension for response within first month                |          |  |  |
| to the account of DANN, DORFMAN, HERRELL     | & SKILLMAN | Extension for response within second month               |          |  |  |
| Deposit Account Number 04-1406               |            | Extension for response within third month                |          |  |  |
|  | J          | Extension for response within fourth month               |          |  |  |
| 2. Payment enclosed:                         | ,          | Notice of Appeal   |          |  |  |
| Check in the amount of                       | of \$      | Filing a brief in support of an appeal                   |          |  |  |
| ·  |            | Request for oral hearing                                 |          |  |  |
| FEE CALCULATION                              |            | Petition to revive unavoidably abandoned application     |          |  |  |
| 1, FILING FEE                                | Fee .      | Potition to revive unintentionally abandoned application |          |  |  |
| Fee Description                              | J          | Issue fee  |          |  |  |
| Utility filing fee                           |            | Petitions to the Commissioner                            |          |  |  |
| Design filing fee                            |            | Petitions related to provisional applications            |          |  |  |
| Plant filing fee                             |            | Submission of Information Disclosure Strat.              |          |  |  |
| Reissue filing fee                           |            | Recording each patent assignment per property            |          |  |  |
| Provisional filing fee                       |            | Other fee (specify) Advance Order (10 copies)            |          |  |  |
| -  | -          | Other fee (specify)                                      |          |  |  |
| SUBTOTAL (1                                  | 1)\$0      |  |          |  |  |
|  |            | SUBTOTAL (3)   | \$0      |  |  |
|  |            | <u> </u>   |          |  |  |
| 2. Claims                                    |            |  | •        |  |  |
| Paid Extr                                    | Fee        |  |          |  |  |
| Totat Claims 31 -30 = 1 x 9 =                | 9          |  |          |  |  |
| Independent Claims 5 -5 ≈ 0 x 42 =           | 0          | •  | 1        |  |  |
| Multiple Dependent                           |            |  |          |  |  |
| (First presentation)                         |            |  |          |  |  |
| SUPPOTAL (2)                                 | <b>co</b>  |  |          |  |  |
| SUBTOTAL (2)                                 |            |  |          |  |  |

| Submitted By:              |            | •   |    |         |              |                     |      |
|----------------------------|------------|-----|----|---------|--------------|---------------------|------|
| Typed or<br>Printed Name _ | Niels Haun | 1   | Re | g. Numi | per_48,488   |                     |      |
|                            |            | //  |    |         |              | Deposit Account Use | ı iD |
| Signature                  | [wh        | 10- |    | Date_   | May 27, 2003 | 04-1406             | _    |